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Derrick Brown

ATTN: PATENT APPLICATIONS  
Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Entitled "**METHODS AND SYSTEMS FOR  
MANAGING A PORTFOLIO OF SECURITIES**" - Shayne Young

Sir:

Transmitted herewith for filing is a disclosure including a title page, a 33 page specification, 10 pages of claims (Claims 1-42), and a one page abstract. In addition, we have also included 6 sheets of formal drawings (Figures 1-6). The disclosure and drawings constitute the application of Shayne Young for the above-entitled invention.

Please note that this application is filed without an inventor Declaration and filing fees. Applicant requests the Patent and Trademark Office to accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail. Further, the Applicant requests that the NOTICE OF MISSING PARTS-FILING DATE GRANTED be sent to the undersigned Applicant representative.

Commissioner for Patents  
May 25, 2001  
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Please address all correspondence in connection with this application to:

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Please date stamp and return the enclosed postcard to evidence receipt of this application.

Respectfully submitted,



Mark R. DeLuca  
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Agent for Applicant

Date: May 25, 2001

EBM:bjb  
Enclosures